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Applicants: Thomas M. Jessell et al.

Serial No.: 09/654,462

Group Art Unit: TECHCENTER 1600 29

Filed : September 1, 2000

Examiner: A. Baker

For : GENETIC DEMONSTRATION OF REQUIREMENT FOR NKX6.1
AND NKX2.2 IN VENTRAL NEURON GENERATION

COPY OF PAPERS
ORIGINALLY FILED

1185 Avenue of the Americas
New York, New York 10036
February 13, 2002

Assistant Commissioner for Patents
Washington D.C. 20231

SIR:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references which are listed on the PTO-1449 form attached hereto as **Exhibit A**.

1. Briscoe et al. (2000) "A Homeodomain Protein Code Specifies Progenitor Cell Identity And Neuronal Fate In The Ventral Neural Tube" Cell 101:435-445;
2. Mirmira et al. (2000) "Beta-Cell Differentiation Factor Nkx6.1 Contains Distinct DNA Binding Interference And Transcriptional Repression Domains" J. Biol. Chem. 275(19):14743-14751 (**Exhibit 1**);
3. Oster et al. (1998) "Homeobox Gene Product Nkx 6.1 Immunoreactivity In Nuclei Of Endocrine Cells Of Rat And Mouse Stomach" J. Histochem. And Cytochem. 46(6):717-721 (**Exhibit 2**); and
4. Schwitzgebel et al. (2000) "Expression Of Neurogenin3 Reveals An Islet Cell Precursor Population In The Pancreas" Genes & Development 127:3533-3542 (**Exhibit 3**).

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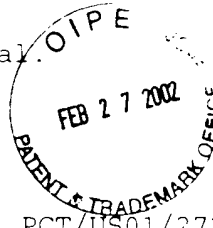
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Page 2



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PCT International Application No. PCT/US01/27256, filed August 31, 2001, is a foreign counterpart application of the subject application. A Search Report was issued on January 15, 2002 in connection with PCT International Application No. PCT/US01/27256, filed August 31, 2001. A copy of the Search Report is attached hereto as **Exhibit B**. Above listed references 1-4 were cited in the Search Report. Above listed reference 1 was previously submitted to the United States Patent and Trademark Office in an Information Disclosure Statement filed on October 23, 2001 in connection with the subject application. Accordingly, a copy of reference 1 is not being provided herewith. Copies of references 2-4 are attached hereto as **Exhibits 1-3**, respectively.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

Pursuant to 37 C.F.R. §1.97(b)(3), no fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. If any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

John P. White
Registration No. 28,678
Attorney for Applicant(s)
Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. White
Reg. No. 28,678

Date

2/13/02